

Naipunnaya School of Management, Cherthala

V semester B.Com Tourism and Travel Management

Indian Financial Markets-Question Bank, 2016

Section A

1. What is money market?
2. What is NSE?
3. What is rights issue?
4. What is underwriting?
5. What is meant by secondary market?
6. What is an option?
7. What is an equity share?
8. Who is a broker?
9. Define derivatives
10. Expand OTCEI, NSE, BSE
11. What is call money?
12. What is CP?
13. What is treasury bill?
14. What are zero coupon bonds?
15. What are floating rate bonds?
16. What are preference shares?
17. What is MMMF?
18. What is notice money?
19. What is dematerialization?
20. What is book building?
21. What is a financial market?
22. Who is a registrar?
23. What is meant by IPO?
24. What is a prospectus?
25. What is a red herring prospectus?
26. What is meant by primary market?
27. What is insider trading?
28. What is certificate of trading?
29. What is meant by subscription?
30. What is rights issue?

Section B

1. What is meant by book building?
2. What is new issue market?
3. Write a short note on stock exchange.
4. What are the advantages of equity shares?

5. What is HNI?
6. What are growth shares?
7. What are derivatives?
8. What is SEBI?
9. List the steps in book building procedure
10. Explain any 2 features of derivatives
11. State 2 advantages of listing
12. What is screen based trading? Mention any 1 advantage
13. Explain any 2 functions of SEBI
14. State benefits of dematerialization?
15. What is a depository?
16. What is meant by dematerialization?
17. List the functions of a registrar
18. State the benefits of online trading
19. What are the benefits of listing?
20. Who are the intermediaries to IPO
21. Briefly explain hedging?
22. what is double option?
23. What are G-secs? Explain
24. What are difference between shares and debentures?
25. List the different types of shares
26. List the different types of debentures
27. List eh different types of preference shares
28. State the difference between primary market and secondary market
29. What is NBFC?
30. What are the money market instruments?
31. What are industrial securities?
32. What are mortgages?
33. What is reverse mortgage?
34. What are NBFC?
35. Who are the intermediaries of new issue markets?
36. Explain public issue

Section C

1. Briefly explain the various participants in the stock exchange
2. Explain the procedure of issuance of shares?
3. State the differences between investor and speculator
4. State the differences between money market and capital market
5. What is meant by listing of shares? Explain the steps in listing
6. What are depositories? Explain the features of depositories.
7. What is book building? Explain the book building procedure.
8. Describe the different kinds of derivatives.
9. Explain the features of options.
10. Explain the functions of stock exchange.
11. What is SEBI? Explain the functions of SEBI
12. What are the different kinds of markets in the Indian financial system?

13. What are the functions of a new issue market?
14. Write a short note on the different types speculators.
15. Difference between CP and CD.
16. What is money market? What are the various components of money markets
17. What are the powers of SEBI?
18. What is dematerialization? Explain the process of dematerialization.
19. How does trading takes place in the stock exchange?
20. Briefly explain the various members in the stock exchange.
21. Who are the participants in the new issue market?
22. Define: bonus issue, rights issue, sweat stock, new issue, public issue
23. Who is a book runner? Briefly explain his responsibilities
24. Who is a registrar? Briefly explain the responsibilities of a registrar
25. What are bonds? Explain the different kinds of bonds.
26. Explain the various types of preference shares
27. Explain the various types of equity shares

Section D

1. What is money market instrument? State the popular money markets used in India.
2. What are the various submarkets of Indian money market? Explain each of them.
3. Discuss the structure of Indian financial system?
4. Discuss the functions and powers of SEBI.
5. Who are intermediaries? Explain the various intermediaries in the financial market?
6. Describe the various procedures and formalities in a public issue.
7. Explain the various modes of issuance of shares.
8. What is listing of shares? Explain the requirements of for getting the shares listed.
9. Explain the role of SEBI in in the Indian capital market
10. Explain the various types of markets in the financial system.